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Annexe A: Members of the Universities UK Open Access Monographs Group 29

\$QQH[12 YHUYLHZ RI WKH GL HUHQW UROHV RI DQG WKH UHODWLF

DFDGHPLF DXWKRU DQG SXEOLVKHU 30

\$QQH[H & 5() 8QLWV RI DVVHVVPHQW DQG W\SHV RI OQJ IRU 32



## EXECUTIVE SUMMARY

)ROORZLQJ 3URIHVVRU \$GDP 7LFNHOO¶V LQGHSHQGHQW UHFRPPH  
PLQLVWHU LQ HDUO\ 8QLYHUVLWLHV 8. HVWDEOLVKHG DQ 2\$  
\*URXS WR PRQLWRU DQG HYDOXDWH SURJUHVV WRZDUGV 2\$ PRG  
2\$0 \*URXS SXEOLVKHG LWV ¿UVW UHSRUW 2SHQ DFFHVV DQG PR  
RI VLJQL¿FDQW GHYHORSFHQWV DQG DFWLYLWLHV LQ WKLV DUH  
VXPPDULVLQJ WKH GLVFXVVLRQV IURP WZR VHFWRU HQJDJHPHQW  
UHSRUW EULQJV WRJHWKHU ZRUN FDUULHG RXW E\ WKH 2\$0 \*URX  
¿QGLQJV IURP IXOOVWRSS \*PE+¶V GDWD DQDO\VLV RI 2\$ ERNV  
)XQG HW DO

### +HDGOLQH ¿QGLQJV

Authors have real and legitimate concerns over the implications of open access book

publishing &RQFHUQV DURXQG UHFUXLWPHQW DQG SURPRWLRQ SURF  
DQG WKH QHGH WR UHFRJQLVH WKH LQWHUQDWLRQDO ODQGVFDS  
WKURXJKRXW WKH HQJDJHPHQW HYHQWV DQG GXULQJ IXOOVWRSS  
ODQ\ DFDGHPLFV IHHO WKH\ GR QRW NQRZ HQRXJK DERXW 2\$ ERNV  
PRUH EURDGO\ LQ RUGHU WR PDNH LQIRUPHG GHFLVLRQV 8QFH  
WKURXJKRXW WKH VFKRODUO\ FRPPXQLW\

Authors and publishers are concerned about the implications of OA for trade books

and crossover book ERNV WKDW PD\ KDYH D EURDGHU SXEOLF DSSHDO  
PRQRJUDSK 7LWOHV RI WKLV W\SH UHSUHVHQW D VLJQL¿FDQW  
FDUULHG RXW E\ IXOOVWRSS VKRZV WKDW DURXQG RI ERNV  
SDQHO ' DUWV DQG KXPDLWLHV LQ WKH 5() KDYH DQ DXGLF  
WR µKLJKHU HGXFDWLRQ¶ RU µDFDGHPLF SURIHVVLRQDO¶ +RZH  
RI WKHVH ERNV RI ERNV UHWXUQHG WR SDQHO & DQG SDQHO  
... WKLV ¿JXUH GURSV WR DW ... DW ... DQG XQGHU ZLV  
SDQHO & DQG SDQHO ' SULFHG DW ... DQG XQGHU

Publishing academic research is an international activity IXOOVWRSS¶V DQDO\VLV IRXQG  
RYHU RI ORQJ IRUP SXEOLFDWLRQV UHWXUQHG WR SDQHO & D  
ZLWK SUHVHVH EDVHG HLWKHU LQ WKH 8. RU LQ WKH 86  
ODQJXDJHV DQG OLQJXLVWLFV DUH PRVW OLNHO\ WR SXEOLVK ZL  
:LWK UHJDUG WR LQWHUQDWLRQDO FRODERUDWLRQV MXVW XQ  
FROOHFWLRQV VXEPLWWHG WR WKH 5() ZHUH FR DXWKRUHG  
HGXFDWLRQ LQVWLWXWLRQ +,

There is a need for strong leadership from institutional senior management teams

3UR YLFH FKDQFHORUV IRU UHVHDFK RU HTXLYDOHQW DQG G  
VKRXOG DFWLYHO\ HQJDJH ZLWK SROLF\ GHYHORSFHQW DQG FRP  
OHQWRULQJ DQG SHHU WR SHHU VXSSRUW ZLWKLQ DQG DFURVV X

The benchmark for OA books returned to the REF is low 2QO\ RXW RI D WRWDO  
VXEPLWWHG WR SDQHO & DQG SDQHO ' LQ WKH 5() DUH DYDLQ

RI SXEOLVKHU VDOHV WDNH SODFH LQ WKH ¿UVW WZR \HDUV D  
VDOHV WDNLQJ SODFH LQ WKH ¿UVW WKUHH \HDUV )XQG HW DO  
RQ HPEDUJR SHULRGV IRU D QRQ LPPHGLDWH RSHQ DFFHVV PRGH

There is some funding in the system for OA books



VFLHQFH DQG SXEOLVKHUV DQG DXWKRUV ZLOO QHHG WKH ÀH[L  
SROLF\ WKDW PLJKW KDYH GL HUHQB GHJUHHV RI WUDGH DSSHD  
DW WKH RXWVHW

:H UHFRJQLVH WKDW LW LV GL FXOW IRU SXEOLVKHUV DQG DXW  
PRQRJUDSK ZLOO EH PDUNHWHG DV D WUDGH ERRN \$V VXFK WK  
PDUNHWHG IRU D SULPDULO\ DFDGHPLF DXGLHQFH PD\ RQFH SX  
DXGLHQFH JHQHUDWLQJ D KLJKHU QXPEHU RI LQGLYLGXDO XQLW  
WKDW VRPH DFDGHPLF ERRNV µFURVVRYHU¶ WR WKH WUDGH ERR  
SODFH DIWHU SXEOLFDWLRQ LV LPSRUWDQW WR QRWH DV WKLV I





**RECOMMENDON:**

1. %RRNV ZULWWHQ LQ D ODQJXDJH RWKHU WKDQ (QJOLVK VKRXC  
ERRNV 7KDW VDLG IXQGHUV PD\ ZLVK WR FRQVLGHU DQ H[FHS  
SXEOLVKHU GRHV QRW R HU 2\$ IRU LQVWDQFH LQ FRXQWULHV  
D GHOD\HG DFFHVV RSWLRQ LV QRW DYDLODEOH

**PEER REVIEW AND OPEN ACCESS PUBLISHING**

3HHU UHYLHZ LV D YDOXHG VHUULFH SHUIRUPHG E\ DFDGHPHFV W  
DFWLYLW\ 7KH SUDFWLFH RI SHHU UHYLHZ YDULHV ZLGHO\ IURP  
UHJDUGOHVV RI ZKHWKHU D SXEOLVKHU SURYLGHV RSHQ DFFHVV  
EHWZHHQ 2\$ SXEOLVKLQJ DQG SHHU UHYLHZ 7KDW VDLG WKHUH  
DFDGHPHFV WKDW 2\$ SXEOLVKLQJ LV OHVV OLNHO\ WR IHDWXUH  
3\QH HW DO DOVR KLJKOLJKWV DXWKRUV\ FRQFHUQV WKDW  
TXDOLW\ WKDQ QRQ 2\$ WLWOHV :KHQ VXUYH\HG DXWKRUV ZLWK  
KLJKHU OHYHOV RI FRQFHUQV

3XEOLVKHUV JHQHUDOO\ UHFRXS WKHLU LQYHVWPHQW LQ SHHU U  
DFTXLVLWLRQV PDQXVFULSW HGLWRULDO GHVLJQ SURGXFWLRQ  
PDNH 0DURQ 0XOKHUQ 5RVVPDQ This is for Peer-Review Evidence to  
GHPRQVUDWH ZKDW H HFW ZLGHVSUHDG 2\$ IRU PRQRJUDSKV RY  
DQG ZKDW IXQGLQJ PRGHO ZRXOG UHSODFH WKHVH VDOHV LI VR  
QRW FOHDU ZKHWKHU DOO SXEOLVKHUV ZKR SURYLGH 2\$ ZLOO K  
WR SURYLGH WKH KLJK TXDOLW\ SHHU UHYLHZ WKDW DXWKRUV D

6RPH VFKRODUV PD\ DOVR SUHIHU WKHLU ZRUN WR XQGHUJR D F  
SHHU UHYLHZ FRPPHQWV IURP WKH ZLGHU FRPPXQLW\ SRVW SXE  
PHDQV D UHTXLUHPHQW RI SXEOLVKLQJ DQ RXWSXW ORQJ IRUP

**RECOMMENDON:**

1. \$XWKRUV DQG IXQGHUV DQG LQVWLWXWLRQV QHHG UHVVXU  
SXEOLVKLQJ :H FRQVLGHU WKDW WKHUH LV D QHHG IRU FRQV  
ERRNV DUH SHHU UHYLHZHG LQ WKH VDPH ZD\ DV SULQW ERRN  
RI 5HVHDFK 0RQRJUDSKV LQ WKH (XURSHDQ 2SHQ 6FLHQFH , Q  
%RRN 3HHU 5HYLHZ &HUWL¿FDWLRQ VHUULFH D UHVSRQVH WR  
D EHWWHU XQGHUVWDQGLQJ RI ERRN SHHU UHYLHZ SURFHVVH  
WKHVH DVVXUDQFHV









# LONG-TERM PUBLICATIONS LINKED TO FUNDED RESEARCH ACTIVITIES

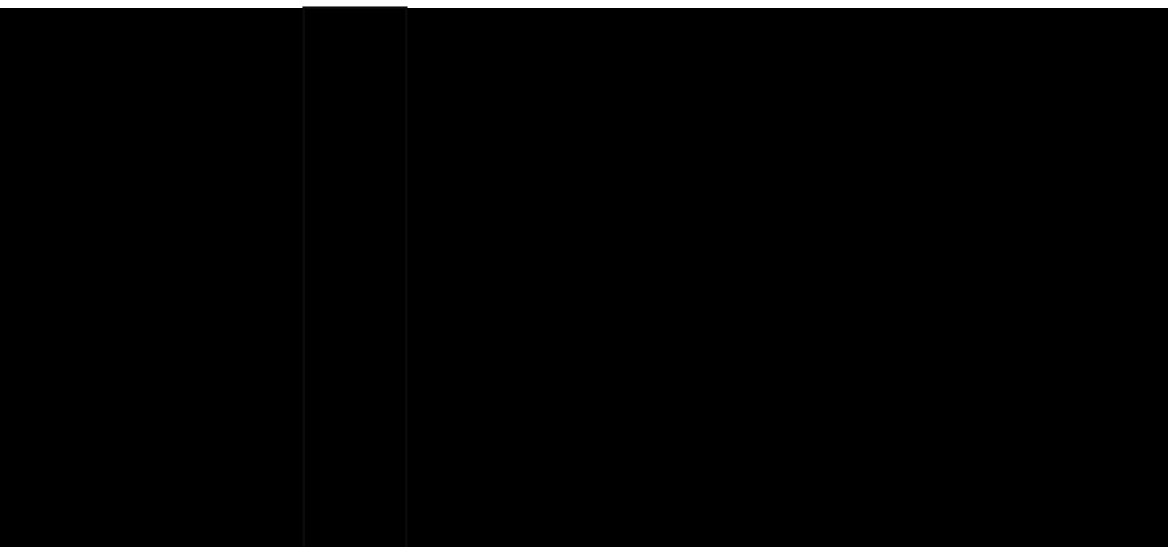


## FUNDING

\$QDO\VLV FDUULHG RXW E\ WKH 88. 2\$0 \*URXS VKRZV WKDW IHHV  
WR SXEOLVKHU ,Q LWV UHSRUW SXEOLVKHG LQ -XO\ WKH JU  
WKDW DUH LQ WXUQ IXQGHHG WKURXJK D QXPEHU RI URXWHV )RU



)LQDOO\ WKHUH LV DOVR DQ RSSRUWXQLW\ WR GHYHORS DQ LQI  
UHSRUW IURP \$GHPD UHFRPPHQGV WKDW IXQGHUV VKRXOG  
IRU 2\$ PRQRJUDSKV WR SURYLGH WKH QHFHVVDU\ VHUYLEFHV IRU  
WKH QHHG IRU LQWHURSHUDELOLW\ EHWZHHQ V\ VWHPV 7  
KDV DOVR EHHQ VXSSRUWHG E\ VHFWRU UHSUHVHQWDWLYHV GXU  
&HUWDLQO\ WKHUH DUH QHZ GHYHORSPHQWV LQ WKLV VSDFH DQ  
&RPPXQLW\ OHG 2SHQ 3XEOLFDWLRQ ,QIUDVWUXFWXUH IRU ORQR  
OHG E\ &RYHQWU& 2\$,DYDLPWLWR WUDQVIRUP 2\$ ERRN SXEOLVKLQ  
PRGHO RI FRPPHUFLDO RSHUDWLRQV WR D PRUH FRRSHUDWLYH  
LQYROYH LPSURYLQJ DQG LQRYDWLQJ LQIUDVWUXFWXUHV IRU 2  
SUHVHUYDWLRQ VWUXFWXUHV DQG JRYHUQDQFH SURFHGXUHV D  
EHWZHHQ OLEUDULDQV SXEOLVKHUV UHVHDFKHUV DQG RWKHU



## DISCOVERABILITY OF ~~OPEN~~ MONOGRAPHS

7KH GLVFRYHUDELOLW\ RI 2\$ PRQRJUDSKV ZDV UDLVHG DV D FRQ  
DQG LQ VXUYH\ LQWHUYLHZV ,Q WKH \HDUV VLQFH WKH ODVW 5(  
OODLQGPÄ€ HGXPP KH HQODW•VHUOFHPLQDWE0

E3DQG QJ! UHJV @0

## ACADEMIC CAREERS

'XULQJ WKH 2\$0 \*URXS¶V HQJDJHPHQW DFWLYLWLHV 88. 2\$0 \*UR  
VWUHVVHG WKDW WKH FKDOOHQJHV RI 2\$ SXEOLVKLQJ ERWK SH  
YHU\ UHDO FXOWXUDO EDUULHUV WKDW H[LWV DFURVV WKH DFDG  
DFDGHPLF FDUHHU SDUWLFXODUO\ IRU HDUO\ FDUHHU UHVHDUFK  
HPSOR\HG RQ ]HUR KRUV RU VKRUW WHUP FRQWUDFWV DQG IURP  
DFDGHPLF RU RWKHU :VWUXO\WV R XRUQR MRE VHFUXLW\ DW W  
RIWHQ ¿QG WKHPVHOYHV PRYLQJ IURP RQH LQVWLWXWLRQ WR W  
PD\ ORVH RXW IURP WKH EHQH¿WV WKDW EHLQJ EDVHG DW RQH L  
VXSSRUW IURP SURIHVVLRQDO VHUULFHV VWD DQG IURP H[SHUL  
,Q DGGLWLRQ UOQ R DDF` @ HU €e`UV IRT€T€öf X2i@0P ð  
A `0€`ÀðFDOO\`P I DDF` @ HT •€` HTIT€Uf  
`€p< @LW\HU QG WKHð`0ðH HU ...`pD€` DELO GHP ð À€ELFGHVPEPRQD  
h F Q€ DDP X•0•0P&7d€°O I DDF` @OWDDD A€@•0I HD pH WP&• F À`pFT€ö`0ð  
h R XHUO€° @€ SURT€`FT€RFD&@ FV O `0fID0€µ00 SURð  
ELO GHPO XDQK €p\ "PH`ÄJH P €4€@RFDTð@p 0&•@7Ks pKs °0€‡PUD ðs ð`0ð@`à  
ILQJ HpET@O€HDPS  
D€ SURIO €[ð @ KH•À0•À @•1 XPLI@°PFD0P°@ 1@S`4€K





:H VXJJHVW WKDW WKHVH DUHDV DUH H[SORUHG LQ PRUH GHWDLO  
SROLF\ GHYHORSHPHQW DQG LPSOHPHQWDWLRQ :H UHFRJQLVH WKH  
LV QHHGHG :HOOFRPH LQ SDUWQHUVKLS ZLWK 8.5, DQG WKH \$VVI  
3XEOLVKHUV \$/363 KDYH HQJDJHG ,QIRUPDWLRQ 3RZHU WR H[SO  
EXVLQHVV PRGHV WKURXJK ZKLFK OHDUQHG VRFLHWLHV FDQ WUD  
WKH 8.5, DQG \$/363 VWXG\ LV IRFXVLQJ RQ 2\$ IRU MRXUQDOV WKH  
ERRNV

### 8.5, RSHQ DFFHV V UHYLHZ

7KH IRUPDO FRQVXOWDWLRQ SHULRG IRU WKH 8.5, 2\$ UHYLHZ ZL  
SROLF\ DQQRXQFHPHQW VFKHGXOHG IRU VSULQJ :H KRSH WK  
FRQVXOWDWLRQ TXHVWLRQV RQ RSHQ DFFHV DQG PRQRJUDSKV  
DUH LQFOXGHG LQ WKH FRQVXOWDWLRQ GRFXPHQW

‡ WKH DSSURSULDWH YHUVLRQ RI D ERRN WR GHSRVLW LQ DQ LQ

‡ DSSURDFKHV WR GHOD\HG RSHQ DFFHV

‡ SROLF\ LPSOHPHQWDWLRQ GDWH DQG LPSOLFDFWLRQV RI WKH S

‡ LQIUDVWUXFWXUH UHTXLUHPHQWV

‡ DQ HTXDOLW\ DVVHVVPHQW WKDW FRQVLGHUV KRZ D 8.5, 2\$ SR  
ZLWK SURWHFWHG FGDUDFWHULVWLFV

### 'HYHORSLQJ DQ 2\$ SROLF\ IRU WKH 5() DIWHU QH[W

)ROORZLQJ RQ IURP WKH 8.5, 2\$ UHYLHZ WKH IRXU 8. KLJKHU HG  
D FRQVXOWDWLRQ IRU WKH 5() 2\$ SROLF\ IRU WKH H[HUFLVH DIW  
RU 7KLV 5() VSHFL¿F FRQVXOWDWLRQ ZLOO WDNH SODFH

SROLF\ ± ZLOO EXLOG RQ WKH ¿QGLQJV RI WKH 8.5, 2\$ FRQVXOW  
VKRXOG VHHN FRQJUXHQFH ZLWK WKH 8.5, 2\$ SROLF\ DV IDU DV  
VXEPLWWHG WR WKH 5() DUH JUHDWHU LQ QXPEHU WKDQ WKRVH  
ÀH[LLEOLW\ IRU PRQRJUDSKV UHWXUQHG WR WKH 5() DIWHU QH[W

:H KRSH WKDW WKLV UHSRUW ZLOO EH XVHG WR LQIRUP WKH 5()  
PRQRJUDSKV :H VXJJHVW WKDW WKH IROORZLQJ WRSLFV PLJKW

‡ WKH DSSURSULDWH YHUVLRQ RI D ERRN WR GHSRVLW LQ DQ LQ

‡ DSSURDFKHV WR GHOD\HG RSHQ DFFHV

‡ SROLF\ LPSOHPHQWDWLRQ GDWH DQG WKH VWDJH RI WKH ERRN  
ZLOO DSSO\ HJ QHZ FRQWUDFWV RQO\ RU WR ERRN FRQWUDF

‡ SROLF\ H[HPSWLRQV

‡ ZKHWKHU 8R\$V VKRXOG EH UHTXLUHG WR VXEPLW D SRSRUWL  
RU DOO HOLJLEOH RXWSXWV H[FOXGLQJ H[HPSWLRQV

‡ 5() VSHFL¿F TXHVWLRQV UHJDUGLQJ PRQLWRULQJ FRPSOLDQFH

‡ 5() VSHFL¿F TXHVWLRQV WR EHWWHU XQGHUVWDQG UHVRXUFH

‡ DQ HTXDOLW\ DVVHVVPHQW WKDW FRQVLGHUV KRZ D 8.5, 2\$ SR  
or groups with protected characteristics

### 3ODQ 6

Recent JXLGDQFH RQ WKH LPSOHPHQWDWLRQ RQ 3ODQ 6 ZDV SXEOL  
6¶V FXUUHQW DFWLYLWLHV DUH ¿UPO\ IRFXVHG RQ 2\$ IRU VFKRO  
QRWHV WKDW WKH FRDOLWLRQ RI UHVHDFK IXQGHUV ZLOO LVVX  
WR PRQRJUDSKV DQG ERRN FKDSWHUV E\ WKH HQG RI 5HODW  
EH LVVXHG DW WKLV WLPH :H KRSH WKDW ZRUN FDUULHG RXW E  
GHYHORSPHQW RI WKH 3ODQ 6 PRQRJUDSK SROLF\

### REFLECTIONS ON THE UUK OPEN ACCESS GROUP

5HÄHFWLQJ RQ RXU DFWLYLWLHV RYHU WKH ODVW PRQWKV ZH  
8. LQ SDUWLFXODU LV DW D SLYRWDO VWDJH LQ 2\$ SXEOLVKLQJ  
RI HQJDJHPHQW DQG GHEDWH LQ WKLV VSDFH DQG ZH ZHOFRPH  
+RZHYHU WKH IXWXUH RI 2\$ ERRN SXEOLVKLQJ DQG LWV UROH Z  
WKDW ZLOO EH PDGH RYHU WKH QH[W IHZ \HUV 7R WKDW HQG  
VWURQJO\ FRQVLGHUHGE\ DOO VWDNHKROGHUV DV ZH PRYH IRU

7KH ODQJXDJH DURXQG RSHQ DFFHVV VFKRODUO\ FRPPXQLFDWLR  
DGGUHVVLQJ \$+66 GLVFLSOLQHV 7KH WHUP µRSHQ VFLHQFH¶ LV  
DOO DFDGHPLF GLVFLSOLQHV DQG LV XVHG HVSHFLDOO\ LQ (XUF  
GRHV QRW QHDWO\ DSSO\ WR \$+66 GLVFLSOLQHV LQGHHG PDQ\  
VFLHQFHV ZRXOG QRW SHUFHLYH WKHLU ZRUN DV ¿WWLQJ LQWR  
SKUDVH µRSHQ VFLHQFH¶ LV UHSODFHG ZLWK D PRUH LQFOXVLYH  
µRSHQ UHVHDFK¶

7KH WHUPV µJUHHQ¶ DQG µJROG¶ DQG µGLDPRQG¶ DQG µEURQJH  
RI FLFHV `°H DFDGHPLFIU `èV ÄRI\$FV OLFXPèIHXV DQG"VLQKLQJZR  
\ p`À p`°À 0°@ pÀ€ Ð € À°À €@` PpP`°À €@p`•€€`ÀP€0€`p`À€P€€  
\I`XV UHHVDPQGðHSO

VG v QGLH VDU\—LD^•G IQ DPLKES -IQëH IQ kUF` DLO @Ppp`ð€`

# CONSOLIDATED LIST OF RECOMMENDATIONS

## 3 ROLF\

1. ,PPHGLDWH RSHQ DFFHV V IRU DOO PRQRJUDSKV PD\ QRW EH IH RI D PL[HG PRGHO SROLF\ WKDW R HUV YDULRXV URXWHV WR F VXLWDEOH SHULRG IRU GHOD\HG RSHQ DFFHV
  2. )XQGHUV VKRXOG FRQVLGHU KRZ FXUUHQW SROLF\ H[HPSWLRQV DURXQG SRWHQWLDO H[HPSWLRQV IRU ERRN DQG ERRN FKDSW
  3. 7KH WUDGH ERRN VKRXOG EH H[HPSW IURDSDXVW\$SRQ\$S\$R\ORX EH FOHDU DERXW ZKR RU ZKDW GHFLGHV WKH YDOLGLW\ RI D W SURIHVVLRQDO DVVHVVPHQW DV ZHOO DV RWKHU IDFWRUV VXF
  4. )XQGHUV VKRXOG FRQVXOW ZLWK WKH FRPPXQLW\ UHJDUGLQJ V DUH PDUNHWHG WRZDUGV D SULPDULO\ VFKRODUO\ DXGLHQFH
- 3.

4. )XUWKHU OHJDO DGYLFH QHHGV WR EH VRXJKW IRU WKH EHQH;  
WKH OHJDO WHFKQLFDOLWLHV RI 2\$ ERRNV FRQWDLQLQJ PDWH  
:H XQGHUVWDQG WKDW WKH ZRUNVKRSV FDUULHG RXW DV SDU  
WKHVH PDWWHUV

5.



# GLOSSARY

AAM	Author-accepted manuscript 7KH DXWKRU ¶V ¿ QDO DFFHSWHG PDQXVFULSW LV WKH HGLWRU DW WKDW SRLQW 7KH DFFHSWHG PDQXVFULSW W\SHVHW RU SXEOLVKHG ERRN UHIHUHG WR DV WKH
AHA	Arts and Humanities Alliance
ALPSP	Association of Learned & Professional Society Publishers \$Q LQWHUQDWLRQDO PHPEHUVKLS WUDGH ERG\ ZKLFK SUR¿W RUJDQLVDWLRQV DQG LQVWLWXWLRQV WKDW S
APC	Article processing charge \$ VLQJOH SD\PHQW PDGH WR WKH SXEOLVKHU RI D MRX
BA	British Academy 7KH 8. ¶V QDWLRQDO ERG\ IRU WKH KXPDLWLHV DQG V
backlist	\$ OLVW RI ROGHU ERRNV DYDLODEOH IURP D SXEOLVKHU SXEOLVKHG WLWOHV DOVR NQRZQ DV WKH IURQWOLVV
BPC	Book processing charge \$ VLQJOH SD\PHQW PDGH WR WKH SXEOLVKHU WR PDNH
DOAB	Directory of Open Access Books
'2,	'LJLWDO REMHFW LGHQWL¿HU
ECR	Early career researcher. 7KH VHYHQ UHVHDFK FRXQFLOV GH¿QH DQ (&5 DV DQ \HDUV RI WKH DZDUG RI WKHLU 3K' RU HTXLYDOHQW SU ZKR LV ZLWKLQ VL[ \HDUV RI WKHLU ¿UVW DFDGHPLF D DQ\ SHULRG RI FDUHHU EUHDN HJ IRU FDULQJ RU KHD 5() (&5V DUH GH¿QH DV PHPEHUV RI VWD ZKR PHHW HOLJLEOH RQ WKH FHQVXV GDWH DQG ZKR VWDUWHG RQ RU DIWHU \$XJXVW
ÀLS	7R WXUQ D FORVHG WLWOH RSHQ DFFHVV
Jisc	\$ 8. KLJKHU DQG IXUWKHU HGXFDWLRQ DQG VNLOOV V GLJLWDO VHUYLFHV DQG VROXWLRQV
Licensing	6 ANNEXE
Nielsen	/HDGLQJ SURYLGHU RI ERRN UHODWHG GDWD VHUYLFH
PA	The Publishers Association
PoD	Print on demand. \$ EXVLQHVV PRGHO LQ ZKLFK WLWOHV DUH QRW SULQV
RCUK	Research Councils UK

REF Research Excellence Framework

5HVH DUFK\$ UHVH DUFK LPSDFW DVVHV VPHQW SODWIRUP XVHG E\ DQG UHVH DUFKHUV WR WUDFN IXQGLQJ DZDUGV DQG U

SHERPA 6HU YLFHV SURYLGHG E\ -LVF WKDW FKHFNV LI FRPSOL EH DFKLHYHG ZLWK D VSHFL F MRXUQDO -LVF FXUUHG 6+(53\$ )\$&7 6+(53\$ 5R0(2 6+(53\$ -XOLHW DQG 6+(53\$

UKRI UK Research and Innovation

VoR Version of record

7KH FRS\ HGLWHG W\SHVHW DQG SXEOLVKHG DFDGHP



7DQQHU 6 \$Q DQDO\VLV RI WKH \$UWV DQG +XPDQLWLHV VXI  
ZLWK D IRFXV RQ DFDGHPLF ERRNV /RQGRQ \$FDGHPLF %RRN RI  
88. 2\$0 \*URXS 2SHQ DFFHV V DQG PRQRJUDSKV /RQGRQ 8QL  
88. 2\$0 \*URXS 2SHQ DFFHV V DQG PRQRJUDSKV (QJDJHPHQW  
VWDN HKROGHUV /RQGRQ 8QLYHUVLWLHV 8.

# ANNEXE MEMBERS OF THE UNIVERSITIES UK OPEN ACCESS MONOGRAPHS GROUP

JANNEKE ADEME 5HVHDFK )HOORZ 'LJLWDO 0HGLD &RYHQWU\ 8QLYHU

CHRIS BANKS \$VVLVWDQW 3URYRVW 'LUHFWRU RI /LEUDU\ 6HUFLHV

DIEGO BAPTIST 2SHQ 5HVHDFK &RRUGLQDWRU 7KH :HOOFRPH 7UXVW

PROFESSOR SUSAN BRUCE 3URIHVVRU RI (QJOLVK .HHOH 8QLYHUVLW\ DQG

RI WKH \$UWV DQG +XPDQLWLHV \$OOLDQFH \$+\$ UHSUHVHQWLQJ

PROFESSOR MARTIN EVANS 3URIHVVRU RI /LWHUDWXUH 7HFKQRORJ\ DQG 3XE

8QLYHUVLW\ RI /RQGRQ &(2 2SHQ /LEUDU\ RI +XPDQLWLHV 2/+

EELCOERWEAD 'LUHFWRU 2\$3(1

RUPERTO GATTI 'LUHFWRU RI 6WXGLHV LQ (FRQRPLFV )HOORZ RI 7ULQL

8QLYHUVLW\ RI &DPEULGJH &R IRXQGHU 2SHQ %RRN 3XEOLVKHU

MICHAEL JUBB LUHFWRU -XEE &RQVXOWLQJ

PROFESSOR ROGER KATTA 3URIHVVRU RI +XPDQLWLHV 6FKRRO RI \$GYDQF

8QLYHUVLW\ RI /RQGRQ 9LFH 3UHVVLGHQW 5HVHDFK DQG +LJKH

# ANNEX ~~B~~ OVERVIEW







## ANNEXE D: TYPES OF CREATIVE COMMONS LICENCES

/LFHQFH designation	/LFHQFH QDPKDW GRHV WKLV PHDQ IRU \RX DV	
	CCBY	7KH PRVW OLEHUDO RI WKH FUHDWLYH F IURP && SXEOLF GRPDLQ GHGLFDWLRQ OLFHQFH DOORZV RWKHUV GLVWULEXWH XSRQ \RXU ZRUN ± HYHQ FRPPHUFLDOO\ FUHGLW \RX IRU WKH RULJLQDO FUHDWL WKDW FKDQJHV ZHUH PDGH WR \RXU ZRU
	CCBYSA \$WWULEXWL 6KDUH\$OLN	6LPLODU WR && %< KRZHYHU RWKHUV F LQHDWLRQV XQGHU LGHQWLFDO WHUPV HEDVHG RQ \RXU ZRUN ZLOO FDUU\ WKH V GHULYDWLYHV ZLOO DOVR DOORZ FRPPH OLFHQFH XVHG E\ :LNLSHGLD
	CCBYND \$WWULEXWL 1R'HULYDWL	7KLV OLFHQFH DOORZV IRU UHGLVWULEX QQQ FRPPHUFLDO SURYLGHG LW LV SDV LQV LQ ZKROH ZLWK FUHGLW WR WKH D
	CCBYNC \$WWULEXWL 1RQ&RPPHU	6LPLODU WR && %< KRZHYHU RWKHUV F LQ EXLOG XSRQ WKH RULJLQDO ZRUN IRI \$ODK RXXJK QHZ ZRUNV PXVW DOVR DFNQ DQG EH RQ FRPPHUFLDO WKH\ GR QRW GHULYDWLYH ZRUNV RQ WKH VDPH WHUP
	CCBYNC-S \$WWULEXWL 1RQ&RPPHU 6KDUH\$OLN	7KLV OLFHQFH OHWV RWKHUV UHPL[ WZ WGH DXWKRUV ZRUN RQ FRPPHUFLDOO\ FLHG LW WKH DXWKRU DQG OLFHQVH WKH HWKH LGHQWLFDO WHUPV
	CCBYNC-ND \$WWULEXWL 1RQ&RPPHU 1R'HULYDWL	7KLV LV WKH PRVW UHVWULFWLYH RI WK RWKHUV WR GRZQORDG ZRUNV DQG VKDU DORQJ DV WKH\ FUHGLW WKH DXWKRU WKHP LQ DQ\ ZD\ RU XVH WKHP FRPPHU

6RXUFH & ROOLQV HW DO

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